SWISS RE CORPORATE SOLUTIONS AMERICA INSURANCE CORPORATION

LAWYERS PROFESSIONAL LIABILITY INSURANCE APPLICATION FOR FIRMS WITH 1-5 ATTORNEYS
CLAIMS-MADE AND REPORTED BASIS

Coverage underwritten by Swiss Re Corporate Solutions America Insurance Corporation, a member of the Swiss Re Group.

If space is insufficient to answer all questions fully, use separate sheets of paper. Please complete all Supplements as noted by an asterisk.

Firm Name: _____ Contact: _____

Address: _____

City: _____ County: _____ State: _____ Zip: _____

Phone: _____ Fax: _____ Email: _____

Firm's Website Address: _____

Current Coverage:

Expiration Date: _____

Current Carrier: _____

Current Limits: _____

Deductible: $ _____ Current Premium: $ _____

Date Firm Established: _____ Prior Acts Date: _____

Provide a copy of your firm's declarations page and all optional endorsements on your current policy.

Personnel – List all Lawyers. (Include yourself if you are a sole proprietor).

Lawyer's Name	Position [1]	Date of Hire	Date First Admitted	States Admitted	CLE Hours Completed Past 12 Months	Loss Control Seminar Date Completed	Retroactive (Prior Acts) Coverage [2]

[1] S = sole proprietor; P = Partner; O = Officer / Director / Shareholder; E = Employed Lawyer; OC = Of Counsel; IC = Independent Contractor

[2] A = on behalf of applicant firm; B = On behalf of applicant firm and prior firm(s); C = after individual retro date (please fill in retro date).

For each OC and IC, indicate average hours worked per week for the applicant firm and if the attorney has separate malpractice coverage.

Insurance Masters, Inc.
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MTBERMAN@MSN.com
InsuranceMastersInc.com

Provide the percentage of gross revenue. **(Must total 100%)** An asterisk (*) indicates a supplemental form is required if a percentage is indicated.

(* = Supplemental AOP questions for Lawyers; ** = Intellectual Property Supplement; *** = Securities Supplement)

Administrative Law	%	Family Law	%	Natural Resources / Title and Title Opinions	%
Admiralty	%	Financial Institution*	%	Natural Resources / All Other Services	%
Antitrust / Trade	%	Financial Planning	%	Pension and Employee Benefits	%
Civil Rights / Discrimination	%	Government Contracts / Relations	%	Personal Injury and Negligence – Defense	%
Collection / Bankruptcy*	%	Healthcare	%	Personal Injury and Negligence – Plaintiff*	%
Commercial and Business Litigation – Defense	%	Immigration and Naturalization	%	Plaintiff – Class Action*	%
Commercial and Business Litigation – Plaintiff *	%	Insurance, Excluding Coverage Opinions	%	Plaintiff – Mass Tort*	%
Construction Law	%	Insurance, Coverage Opinions	%	Real Estate / Title Agent – Residential*	%
Consumer Law	%	Intellectual Property – Patent / Trademark**	%	Real Estate / Title Agent – Commercial*	%
Corporate and Business Transactions*	%	Intellectual Property – Copyright**	%	Securities Law (including bonds, private placements, exempt transactions, and limited partnerships)***	%
Criminal	%	International Law	%	Taxation – Opinions	%
Employment Law – Defense	%	Labor Management Representation	%	Taxation – Other	%
Employment Law – Plaintiff*	%	Labor Management Labor Representation*	%	Workers Compensation – Defense	%
Entertainment / Sports*	%	Lobbying	%	Workers Compensation – Plaintiff*	%
Environmental Law	%	Mediation / Arbitration	%	Other: _____	%
Estate / Probate / Trust*	%	Mergers and Acquisitions*	%	**Total must equal 100%**	%

Firm Information

1. Do you have a staff person whose full time duties are those of a legal administrator dedicated to the management of the firm? (This person does not act as a legal secretary, paralegal or other staff position.) ..... ☐ Yes ☐ No

2. Does any firm attorney or spouse / domestic partner of any attorney serve in any managerial capacity or hold any equity interest in a for-profit entity? ..... ☐ Yes ☐ No

   If 'yes', please complete the **Outside Interest Supplement**.

3. Do you have a wholly-owned title agency or mediation / arbitration firm that you would like us to consider for coverage? ..... ☐ Yes ☐ No

   If 'yes', please complete the **Outside Interest Supplement**.

4. Do you share office space, letterhead or website with any other firm? ..... ☐ Yes ☐ No

   If 'yes', is that firm uninsured or is their professional liability insurance status unknown to you? ..... ☐ Yes ☐ No

5. Does the firm have any clients that generate 25% or more of the firm's gross revenue? ..... ☐ Yes ☐ No

   If 'yes', identify client, nature of client's business, professional services rendered and the percentage of billings on a separate sheet of paper.

6. Please indicate gross income for your immediate past fiscal year: _____

7. Does the applicant firm render any professional services to entertainers, sports figures or other public figures? ..... ☐ Yes ☐ No

   If 'yes', please complete the **Entertainment/Sports AOP supplement**.

8. Has the firm been involved in any mass tort/class action or multi-district litigation cases within the past five years, whether as the plaintiff or defense counsel? ..... ☐ Yes ☐ No

   If 'yes', please provide details on a separate sheet of paper, including case description, applicant's duties, class size, case value and current status.

9. Does the Applicant make recommendations on the sale or purchase of any specific stocks, bonds or other securities-related investments other than when acting as a trustee within the bounds of the trust agreement? ..... ☐ Yes ☐ No

10. Has the firm or any member of the firm had professional liability insurance non-renewed, declined or canceled other than the carrier's exiting this line of business or changing broker partners? ☐ Yes ☐ No

If 'yes', please furnish details on firm letterhead. **Note: MO applicants are not required to respond.**

11. Has any attorney ever had disciplinary actions against them or is there a pending complaint against any attorney? ☐ Yes ☐ No

If 'yes', please furnish details on firm letterhead.

12. During the past 5 years, has any claim been made against the firm or any attorney and/or are you aware of any potential claims? ☐ Yes ☐ No

If 'yes', complete a **Claim Supplement** for each matter.

13. Does the firm have more than four non-lawyer personnel (including but not limited to law clerks, paralegals and administrative assistants) for every lawyer practicing with the applicant firm? ☐ Yes ☐ No

If 'yes', please provide details on a separate page.

14. How many suits to collect unpaid fees were initiated by the firm against clients in the past 12 months? _____

15. Does your law firm use a docket/calendar platform or system? ☐ Yes ☐ No

☐ Check here if one of these systems is a computerized program designed as a law firm docket / calendar.

Is there a process to verify all docket or calendar dates, research substantive notice laws and court filing rules? ☐ Yes ☐ No

Is your software specifically designed for law firms to manage your docket and conflict of interest systems? ☐ Yes ☐ No

16. Engagement/Retainer letters for all new clients? ☐ Yes ☐ No

Engagement letters for new matters for existing clients? ☐ Yes ☐ No

Non-engagement letters? ☐ Yes ☐ No

Disengagement letters? ☐ Yes ☐ No

17. Conflict of interest: ☐ Computer ☐ Index File ☐ Conflict Committee ☐ Memory Only

18. If any lawyer of the firm becomes aware of a conflict of interest, do they disclose it in writing to all parties involved? ☐ Yes ☐ No

☐ Firm does not take engagements where a conflict exists.

19. Limits requested: _____ Deductible requested: _____

If you have completed an application for another carrier, please attach a copy of that application.

Supplemental forms and additional information may be needed to bind coverage.

Please provide a copy of your letterhead.

Notice to Applicant – Please Read Carefully

I hereby authorize the release of claim information from any prior insurer to Swiss Re Corporate Solutions America Insurance Corporation.

The undersigned understands and accepts that any policy issued will provide coverage on a claims-made and reported basis for only those claims that are made against the insured and reported while the policy is in force and that coverage ceases with the termination of the policy. All claims will be excluded that result from any acts, circumstances or situations known prior to the inception of coverage being applied for, that could reasonably be expected to result in a claim.

The undersigned represents that the statements set forth herein are true, complete and accurate and that there has been no attempt at suppression or misstatement of any material facts known, or which should be known, and agrees that this application shall become the basis of any coverage that may be issued by the Company.

Applicant understands and agrees that the completion of the application does not bind Swiss Re Corporate Solutions America Insurance Corporation to issuance of an insurance policy.

For your protection, the following Fraud Warnings are required to appear on this application.

The following Fraud Warning applies to Alabama: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or who knowingly presents false information in an application for insurance is guilty of a crime and may be subject to restitution fines or confinement in prison, or any combination thereof."

The following Fraud Warning applies to Arkansas/Louisiana: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

The following Fraud Warning applies to Colorado: It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policy holder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

The following Fraud Warning applies to District of Columbia: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and /or fines. In addition, an insurer may deny insurance benefits, if false information materially related to a claim was provided by the applicant.

The following Fraud Warning applies to Florida: Any person who knowingly and with intent to injure, defraud or deceive any insurer, files a statement of claim or an application containing any false, incomplete or misleading information is guilty of a felony of the third degree.

The following Fraud Warning applies in Maine/Tennessee/Virginia/Washington: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

The following Fraud Warning applies in Maryland: Any person who knowingly or willfully presents a false or fraudulent claim for payment of a loss or benefit or who knowingly or willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

The following Fraud Warning applies in New Jersey: Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.

The following Fraud Warning applies in New Mexico: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to civil fines and criminal penalties.

The following Fraud Warning applies in Ohio: Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

The following Fraud Warning applies in Pennsylvania: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

The following Fraud Warning applies in All Other States: Any person who knowingly files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act which is a crime and also punishable by criminal and/or civil penalties in certain jurisdictions.

THIS APPLICATION MUST BE SIGNED BY A PARTNER, OFFICER and/or OWNER.

Signed: _____ _____ _____

Owner, Officer or Partner | Title | Date

The Applicant understands and agrees that she or he is obligated to report any changes in the information provided in the supplement that occur after the date of the application and before policy inception.

If you are signing and submitting this document electronically: By checking the Electronic Signature Acceptance box below, you acknowledge that it is your intent that the name typed in the Signature of Owner, Officer or Partner line will serve as your signature for the purpose of this application and that you agree to complete and submit this application electronically. Once submitted, your signed application will be just as enforceable as a written document signed by hand.

☐ Electronic Signature and Acceptance of the Owner, Officer or Partner.

Signed: _____ _____ _____

Owner, Officer or Partner | Title | Date

Producer/Agency License #: _____ **Licensing State:** _____

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SWISS RE CORPORATE SOLUTIONS AMERICA INSURANCE CORPORATION

SUPPLEMENTAL AREA OF PRACTICE QUESTIONS FOR LAWYERS

Applicant Instructions:

- Answer all questions in ink. If answer is none, state 'none'.
- If space is insufficient to answer all questions fully, use separate sheets of paper.

PLAINTIFF SUPPLEMENTAL QUESTIONS ☐ None

If any of the Applicant's practice is allocated to plaintiff-specific AOP, the Applicant must complete this section, based on time spent on each case type, whether or not income has accrued. Do not include collection, divorce or other engagements not allocated to a Plaintiff AOP in Q16 of the Application.

1. Please indicate the percentage of plaintiff's work devoted to the following specialties:

Admiralty	%	Medical Negligence	%	Commercial/Business	%
Aviation	%	Non-Medical Professional Liability	%	Employment Law	%
Asbestos	%	Products Liability	%	Personal Injury/Property Damage	%
Tobacco	%	Pharmaceutical	%	Other (please specify):	%
Other Toxic Torts	%	Workers' Compensation	%	TOTAL PLAINTIFF	100 %

2. Does any of the indicated work include class action or mass tort suits or are you currently handling any class action or mass tort suits? ..... ☐ Yes ☐ No

   If 'yes', please provide details.

3. What is the average dollar value of plaintiff cases closed during the last twelve months?

   ☐ Less than $25,000 ☐ $100,000. - $499,999 ☐ $1,000,000. or more

   ☐ $25,000. - $99,999 ☐ $500,000. - $999,999

4. What is the largest plaintiff verdict or settlement achieved by the firm in the last five years?

   ☐ $1,000,000 or less ☐ More than $1,000,000 and less than $5,000,000 ☐ $5,000,000 or more

5. How many lawyers are in plaintiff practice? ..... _____

6. What is the average number of plaintiff cases an individual attorney handles per year? ..... _____

7. In the last twelve months, what percent of new cases did the firm reject: ..... _____ %

   In the past twelve months, what percent of the firm's caseload

   A. went to trial? ..... _____ %

   B. concluded without trial? ..... _____ %

   C. is still pending? ..... _____ %

8. Does the Applicant accept cases venued outside the state(s) in which the firm has office(s)? ..... ☐ Yes ☐ No

For Questions 9 and 10, "referral" means any fee-sharing or co-counsel/file-sharing arrangements for plaintiff cases.

9. A. Does the Applicant refer plaintiff cases to other law firms? ..... ☐ Yes ☐ No

   B. If 'yes' to A, does the Applicant use written referral agreements in all cases that are referred **by** the firm? ..... ☐ Yes ☐ No

   C. If 'yes' to A**,** does the Applicant obtain certificates of insurance from all firms to which cases are referred? ..... ☐ Yes ☐ No

10. A. Does the Applicant take plaintiff case referrals from other law firms? ..... ☐ Yes ☐ No

    B. If 'yes' to A, does the Applicant use written referral agreements in all cases that are referred **to** the firm? ..... ☐ Yes ☐ No

    C. If 'yes' to A, does the Applicant obtain certificates of insurance from all firms that refer cases to the firm? ..... ☐ Yes ☐ No

11. If the Applicant splits fees**,** is this arrangement always communicated in writing to the client? ..... ☐ Yes ☐ No ☐ No fees are split

    If 'no', please explain. _____

12. Indicate all methods by which the Applicant has advertised in the last twelve months. **Check all that apply.**

    ☐ Television ☐ Newspaper ☐ Yellow Pages ☐ Internet or other electronic media

    ☐ Radio ☐ Magazine ☐ None ☐ Other, **please specify:** _____

ENTERTAINMENT SUPPLEMENTAL QUESTIONS ☐ None

If the Applicant indicated any percentage of the firm's practice is entertainment / sports or for public figures, the Applicant must complete this section. Please provide details for any 'yes' response.

1. Does the firm, or any member of the firm, exercise any authority to write checks? ☐ Yes ☐ No
2. Does the firm, or any member of the firm, provide investment advice, make investments, or have discretionary control of funds for entertainment / sports clients or other public figures? ☐ Yes ☐ No
3. Does the firm negotiate personal appearances, product endorsements, serve as a talent agent or manager for the entertainment / sports clients or other public figures? ☐ Yes ☐ No

ESTATE / PROBATE / TRUST SUPPLEMENTAL QUESTIONS ☐ None

If the Applicant indicated any percentage of the firm's practice is estate / probate / trust work, the Applicant must complete this section. Please provide details for any 'yes' response.

1. A. Does the firm, or any member of the firm, exercise any authority to write checks? ☐ Yes ☐ No
   B. If 'yes', do you obtain an independent reconciliation of each account to the court or beneficiary(ies) on at least an annual basis? If not, please explain the fiduciary oversight performed. ☐ Yes ☐ No
2. Does the firm, or any member of the firm, provide investment advice, make investments, or have discretionary control of funds for the estate / probate / trust clients? ☐ Yes ☐ No
3. Does the value of any estate or trust handled by the firm exceed $5,000,000? ☐ Yes ☐ No
4. A. Is the firm responsible for completion and filing of any estate tax return? ☐ Yes ☐ No
   B. If 'yes', do you have written procedures to ensure timely filing? ☐ Yes ☐ No
   C. If 'no' to 4.A., do you confirm in writing to the client that you are not responsible for completion of the tax return and advise the deadline for filing? ☐ Yes ☐ No
5. Are all attorney fees approved by the court or beneficiaries before the attorney fees are disbursed? ☐ Yes ☐ No

REAL ESTATE SUPPLEMENTAL QUESTIONS ☐ None

If the Applicant indicated any percentage of the firm's practice is real estate work, the Applicant must complete this section.

1. Does anyone in the firm provide services to clients who form, manage, or organize group investments / syndications (e.g., limited partnerships, general partnerships, real estate investment trusts or corporations) for the purpose of investing in real property? ☐ Yes ☐ No
2. Does anyone in the firm solicit or seek investors in real estate mortgages or similar real estate-based investments? ☐ Yes ☐ No
3. Does the firm always use a disclosure form and have this form signed by both parties when acting in a dual capacity in the same real estate transaction? ☐ N/A ☐ Yes ☐ No
4. A. Does your firm conduct property transfers or leasing agreements? ☐ Yes ☐ No
   B. If 'yes' to 4.A., do you counsel the client in writing to obtain an independent environmental evaluation of the property? ☐ Yes ☐ No
   C. If 'yes' to 4.A., do you disclaim any responsibility for environmental exposures, whether known or unknown, to the client in writing? ☐ Yes ☐ No
5. Does the firm undertake any aspect of financial or valuation analysis or review of transactions for clients (e.g. tax ramifications or appraisals)? ☐ Yes ☐ No

FINANCIAL INSTITUTION SUPPLEMENTAL QUESTIONS ☐ None

If the Applicant indicated any percentage of the firm's practice is financial institution work, the Applicant must complete this section.

1. What type of financial institution work does the Applicant perform?

   ☐ Regulatory ☐ General Counsel ☐ Loan Closings ☐ Repossessions and Foreclosures

   ☐ Other (please describe on separate page)

2. Are any attorneys on the board of directors of any financial institution for which the firm rendered regulatory or general counsel services? ☐ Yes ☐ No

   If <u>any</u> director or officer position is held, please complete the Outside Interest Supplement.

3. Has any institution that has been a client within the last five years been under regulatory review at any time by any state or government agency or had any action taken against them? Do not include routine reviews that apply to all financial institutions. ☐ Yes ☐ No

   If 'yes', please describe the services rendered to such institutions and advise if services were rendered pre- or post-regulatory review on a separate sheet of paper.

4. Has any financial institution for which the Applicant has done work in the last five years become bankrupt or insolvent? ☐ Yes ☐ No

   If 'yes', please describe the services rendered to such institutions and advise if services were rendered pre- or post-insolvency on a separate sheet of paper.

BANKRUPTCY SUPPLEMENTAL QUESTIONS ☐ None

*If the Applicant indicated **any percentage of the firm's practice is bankruptcy work, the Applicant must complete this section**.*

1. State the number of bankruptcy cases which fall into the following categories:

	For Debtor	For Creditor	As Trustee
	_____	_____	_____

2. Indicate percentage of bankruptcy cases which are:

   a. Personal Bankruptcies _____ % b. Commercial Bankruptcies _____ % c. Other _____ %

3. List attorneys involved in this area of practice:

	% of Time Spent on Bankruptcy Work	**Years of Experience**
_____	_____%	_____
_____	_____%	_____
_____	_____%	_____

COLLECTION SUPPLEMENTAL QUESTIONS ☐ None

If the Applicant indicated any percentage of the firm's practice is collection work, the Applicant must complete this section.

1. Do all lawyers and staff in this specialty receive training on the Fair Debt Collection Practices Act? ☐ Yes ☐ No

2. Are form letters and other correspondence regularly reviewed for compliance with all current federal and state statutes? ☐ N/A ☐ Yes ☐ No

3. Does the firm require use of a script which has been reviewed for compliance with the Fair Debt Collection Practices Act and applicable state laws when collecting debts via phone? ☐ N/A ☐ Yes ☐ No

MERGERS & ACQUISITIONS SUPPLEMENTAL QUESTIONS ☐ None

If the Applicant indicated any percentage of the firm's practice is merger & acquisition work, the Applicant must complete this section.

1. A. How many lawyers are engaged in mergers & acquisition-related activities? _____

   B. What are their average years of experience? _____

   C. How many have less than three years' experience? _____

2. Has the applicant firm been involved in any hostile or unfriendly mergers or acquisitions over the last year? ☐ Yes ☐ No

3. Based on the specific asset size of the transaction, list the top five transactions completed over the previous 12 months, including client's name, client's industry and the specific asset size of the transaction:

   1. _____
   2. _____
   3. _____
   4. _____
   5. _____

4. A. During the past 12 months, what percentage of completed M&A transactions involved publicly-traded companies, as your client? _____

   If any, please complete the SEC Supplement.

   B. During the past 12 months, what percentage of completed M&A transactions involved your privately-held client's transaction with a publicly-traded company? _____ %

5. During the last 12 months, did the applicant firm or any attorney represent a client in a failed merger or acquisition? ..... ☐ Yes ☐ No

   If 'yes', please attach details.

6. How many transactions does the firm expect to complete in the next 12 months? ..... _____

7. Did the firm or any attorney within the firm represent a client in a merger or acquisition where the firm or any attorney within the firm owned an equity interest in the client? ..... ☐ Yes ☐ No

8. In the last five years has any member of your firm assisted a foreign corporation (not incorporated in the US) in becoming a publicly-traded company on an US stock exchange or traded over the counter (OTC) through a reverse-merger acquisition? ..... ☐ Yes ☐ No

   If 'yes', please complete the SEC Supplement.

9. Does a principal of the firm ensure that all post-close transactions such as UCC-1 filings and mortgage filings are completed? ..... ☐ Yes ☐ No

   If 'no', please explain on a separate sheet of paper.

CORPORATE SUPPLEMENTAL QUESTIONS ☐ None

If the Applicant indicated any percentage of the firm's practice is corporate work, the Applicant must complete this section.

1. Do you provide corporate services other than the following: general counsel / corporate governance; contract drafting and review; legal entity formations; employment contracts; partnership agreements; ERISA and employee benefit consulting? ..... ☐ Yes ☐ No

   If 'yes', please provide a brief description of those corporate services not named above and, for each service, the percent of total firm income derived from such services for the past year:

   _____

   NOTE: Services related to any intellectual property matters must be reported under the appropriate Intellectual Property AOP. Services related to bonds, private placements, exempt transactions and stock offerings must be reported under SEC. Mergers & Acquisitions must be reported under that specific AOP.

2. Does the firm render services to publicly-traded clients other than services related to the raising of capital? ..... ☐ Yes ☐ No

   If 'yes', please advise what percent of your corporate engagements are for publicly-held companies ..... _____ %

   Please describe the services rendered: _____

3. Does the firm address potential conflict of interest issues between the corporate entity and its individual directors and officers in writing? ..... ☐ Yes ☐ No

   If 'yes', does the firm obtain a conflict waiver signed and dated by all parties? ..... ☐ Yes ☐ No

I understand information submitted herein becomes a part of the application and is subject to the same conditions as stated on the Application.

THIS SUPPLEMENT MUST BE SIGNED BY AN OWNER, PARTNER OR PRINCIPAL OF THE FIRM.

Signed: _____ _____
Owner, Officer or Partner Date

_____
Title

Name of Firm: _____

The Applicant understands and agrees that she or he is obligated to report any changes in the information provided in the supplement that occur after the date of the application and before policy inception.

IF YOU ARE SIGNING AND SUBMITTING THIS DOCUMENT ELECTRONICALLY: By checking the Electronic Signature Acceptance box below, you acknowledge that it is your intent that the name typed in the Signature of Owner, Officer or Partner line will serve as your signature for the purpose of this application and that you agree to complete and submit this application electronically. Once submitted, your signed application will be just as enforceable as a written document signed by hand.

☐ Electronic Signature and Acceptance of the Owner, Officer or Partner.

Signed: _____ _____ _____
Owner, Officer or Partner Title Date

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US Small Lawyers

Professionals Advantage for Lawyers: Washington D.C, Maryland & Virginia

Swiss Re Corporate Solutions protects your reputation. Yes, you need a proper consent to settle clause! Don't be fooled by advertising that misleads or diminishes the importance of the consent to settle clause. Stay in control on your ability to defend a lawsuit that has been filed against you.

Welcome to Swiss Re Corporate Solutions!

	Compare Our Swiss Re Corporate Solutions Policy to Theirs	Our Policy	Their Policy
No Penalties	No penalty "consent to settle clause"	✓	?
Control	Right to refuse to settle claims filed against you	✓	?
Strength	Ranked **A+** (superior) by A.M. Best; Aa(3) (excellent) Moody's; AA- (very strong) by S&P Global Rating	✓	?
Stability	50+ years in the lawyers' professional marketplace across the U.S.	✓	?
Superior Policy Form	No hierarchy of confusing policy forms and pricing One admitted policy form with unique features and benefits	✓	?
Supplemental Coverages	$125,000 in supplemental coverages for disciplinary, subpoena, crisis event, loss of earnings, regulatory inquiry and Medicare/Medicaid/CHIP Extension Act of 2007 matters	✓	?
Cyber	$100,000 in coverage for third party cyber claims or security failure	✓	?
Pre-Claim Assistance	Pre-claim defense and collaboration option with no deductible	✓	?
CLE	Free CLE and risk management resources for every insured attorney	✓	?
Risk Manager	Dedicated on-staff risk manager; recurring newsletter focused on industry trends	✓	?
Ethics Hotline	Free, confidential ethics hotline staffed by outside attorneys	✓	?
Free ERP	Free individual retiree Extended Reporting Period (tail coverage), for qualifying lawyers, after three years of consecutive coverage	✓	?

Gallagher

Insurance | Risk Management | Consulting

Contact us today to learn more:

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Swiss Re Corporate Solutions offers innovative, high-quality insurance capacity to mid-sized and large multinational corporations across the globe. Our offerings range from standard risk transfer covers and multi-line programs to highly customized solutions tailored to the needs of our clients. Swiss Re Corporate Solutions serves customers from over 50 offices worldwide and is backed by the financial strength of the Swiss Re Group. For more information about Swiss Re Corporate Solutions, please visit www.swissre.com/corporatesolutions or follow us on Twitter @SwissRe_CS.

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